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## OMB APPROVAL

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SEC / TM

UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

## FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New  
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

## READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

## Part I

## Initial Listing Report

1. Name of Self-Regulatory Organization Listing New Derivative Securities Product:  
Chicago Stock Exchange, Inc.
2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):  
Trust
3. Class of New Derivative Securities Product:  
Ownership of the Trust
4. Name of Underlying Instrument:  
Cushing Transportation & MLP ETF
5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:  
Narrow-Based
6.   
XLTY
7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:  
Various
8. Settlement Methodology of New Derivative Securities Product:  
See Prospectus
9. Position Limits of New Derivative Securities Product (if applicable):  
See Prospectus



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## Part II

## Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:

Michael J. Cardin

Title:

Director  
Member Regulation Department

Telephone Number:

312-663-2204

Manual Signature of Official Responsible for Form:

Securities Exchange Act of 1934

Date:

December 7, 2018

Section 19b-4  
Rule 19b-4(e)

Public  
Availability:

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